



Investment Advisory Services

Achieving financial success is a complex and confusing process to even the most experienced investor, one that requires a significant amount of time, knowledge and resources. Many affluent investors demand a different investment approach, one that elevates their portfolio beyond one-dimensional strategies and leverages the expertise of highly-skilled professional investment managers.



TFG offers this kind of approach. Our services are designed to protect and enhance your wealth, with a superior level of personalized service. Working with us, you gain access to a world-class roster of independent investment managers who offer a variety of investment philosophies, styles and areas of expertise.

What distinguishes our program is its personal emphasis. It is built around you—your goals, time frame and tolerance for risk. As your needs change, our ongoing management process ensures that your investment portfolio evolves with you. The result is a well-tuned asset management process designed to respond to your individual needs while also responding to the dynamics of the capital markets.

TFG's Investment management process includes the following:

1. An Investment approach based on objectivity

- We design portfolios using asset allocation practices principals from Modern Portfolio Theory, a Nobel Prize-winning economic theory that has been applied specifically to portfolio construction. In short, our portfolios seek to optimize return for a given level of risk.
- We “manage the managers” and our objective is to find the best manager in his respective category. We monitor our list of managers continuously on behalf of our clients
- TFG is not financially incentivized to select a manager. We select managers based upon thorough qualitative and quantitative analysis of the manager's approach.

2. A Transparent fee structure aligned with the client's interest

- We charge an annual fee based on the value of assets in a portfolio
- We receive no other compensation other than the fees that we charge (i.e., we do not get paid by the managers we select, and we do not have an incentive to “sell” our clients investments where we might have had any other type of relationship such as underwriting).

3. A Team of experienced professionals with significant expertise in investment management

- Your TFG Financial Advisor will act as the primary contact. He or she will assist with establishing investment objectives, coordinating the portfolio, providing ongoing portfolio evaluation and arranging meetings with other members of the team, including Investment Managers, Chartered Financial Analysts and senior professionals from TFG. This dedicated investment team works together toward your investment goals according to your wishes.

4. An Investment process designed to provide exceptional service to our clients

- Getting to know the client – Our approach is built upon a deep understanding of our clients – their needs, their concerns, their goals and their dreams.
- Building a Portfolio (Proposal) – Once the client's needs are well understood and documented, we can build a portfolio for the client which matches their individual needs.
- Implementation – Assuming the portfolio that we build is consistent with the understandings of the client, we implement the portfolio. We will continually monitor the portfolio through management process. We also continue to stay in touch with our clients to ensure that we are staying on top of changing conditions in their lives.
- Ongoing Management – We schedule periodic reviews for each and every one of our clients to: (1) rebalance positions to the client's individualized asset allocation targets; (2) recommend changes to positions as required; and (3) adjust the portfolios based upon changes in the client's circumstances.

In today's complex world of investment opportunity, even the most knowledgeable investors find it difficult to develop and implement a well-structured investment plan on their own. As experienced investment professionals, TFG is committed to understanding your unique needs, and providing the time and resources to formulate a prudent investment strategy to help protect and grow your wealth.

To learn more about our Investment Advisory Services, contact a TFG financial professional today.